

This Page Is Inserted by IFW Operations
and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

**As rescanning documents *will not* correct images,
please do not report the images to the
Image Problem Mailbox.**

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau



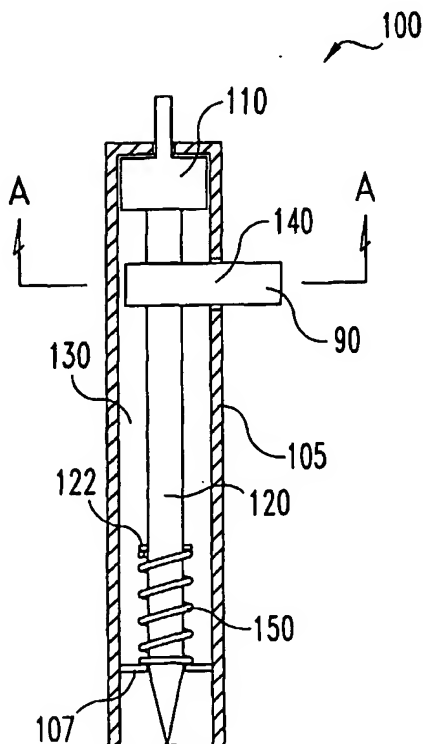
(43) International Publication Date
25 July 2002 (25.07.2002)

PCT

(10) International Publication Number
WO 02/056751 A2

- (51) International Patent Classification⁷: **A61B** P. [US/US]; Apt. H, 799 Berkeley St., Menlo Park, CA 94025 (US). ROE, Steve, N. [US/US]; 21 Hillbarn Court, San Mateo, CA 94403 (US).
- (21) International Application Number: PCT/US02/01703
- (22) International Filing Date: 22 January 2002 (22.01.2002) (74) Agents: MEYER, Charles, J. et al.; Woodard, Emhardt, Naughton, Moriarty & Mcnett, Bank One Center/Tower, Suite 3700, 111 Monument Circle, Indianapolis, IN 46204 (US).
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data: 60/263,533 22 January 2001 (22.01.2001) US (81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZM, ZW.
- (71) Applicant (*for all designated States except US*): ROCHE DIAGNOSTICS CORPORATION [US/US]; 9115 Hague Road, Indianapolis, IN 46250 (US).
- (72) Inventors; and (84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW),
- (75) Inventors/Applicants (*for US only*): PEREZ, Edward, [Continued on next page]

(54) Title: LANCET DEVICE HAVING CAPILLARY ACTION



(57) Abstract: A device for sampling body fluid, the device comprising, a main body, a lancet disposed within the main body, a carrier disposed within the main body fixedly attached to the lancet, a biasing means in communication with the lancet and the carrier, an annular space disposed within the main body adjacent the lancet, and a means for measuring a body fluid. Wherein the means for measuring the body fluid may include micro-porous test strips, an electronic testing device, an optical/reflectance testing measuring device, or a visual inspection.

WO 02/056751 A2



Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM),
European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR,
GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent
(BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR,
NE, SN, TD, TG).

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

Published:

- *without international search report and to be republished upon receipt of that report*

LANCET DEVICE HAVING CAPILLARY ACTION

This application claims priority to provisional patent application
Serial No. 60/263,533 filed on January 22, 2001.

5

FIELD OF THE INVENTION

This invention relates to lancing devices and methods for obtaining
samples of blood and body fluids for analysis.

10

BACKGROUND OF THE INVENTION

Many medical procedures in use today require a relatively small
sample of a body fluid, such as blood or interstitial fluid, in the range of less
than 50 μL . It is more cost effective and less traumatic to the patient to
obtain such a sample by lancing or piercing the skin at a selected location,
15 such as the finger or forearm, to enable the collection of 1 or 2 drops of
blood, than by using a phlebotomist to draw a tube of venous blood. With
the advent of home use tests for the self monitoring of blood glucose, there
is a requirement for a simple procedure which can be performed in any
setting without a person needing the assistance of a professional.

20

Lancets in conventional use generally have a rigid body and a sterile
needle which protrudes from one end. The lancet may be used to pierce
the skin, thereby enabling the expression of a blood sample. When a
capillary tube or test strip is placed adjacent the expressed blood, the fluid
can be collected. By a capillary tube fluid is then transferred to a test
25 device, such as a paper testing strip. Blood is most commonly taken from
the fingertips, where the supply is generally more accessible. However,
the nerve density in this region causes significant pain in many patients.
Sampling of alternative sites, such as earlobes and limbs, is sometimes
practiced to lessen the pain. However, these sites are also less likely to
30 provide sufficient blood samples and can make blood transfer directly to
test devices more difficult. Additionally, it is often difficult for a user to
determine whether a sufficiently large drop of body fluid has been
expressed at the incision point to provide a sufficient sample.

Prior methods of acquiring a blood sample have suffered from the need to use two components and the resulting time lapse between the lancing action and placement of the capillary tube adjacent the lanced location, potentially allowing contamination or not collecting the fluid expressed from the body. One prior method for addressing this concern was to create a larger opening and/or to force excess fluid from the body. While this assisted in ensuring an adequate fluid supply, it potentially created a large opening to be healed and could cause the patient additional pain. Moreover, use of this method requires precise steps where the lancet is removed and the capillary tube or test strip is then correctly aligned with the lanced location. It is important for correct collection that no gap or movement of the capillary tube relative to the lanced location occur during collection. There is a need for a system to assist in removal of the lancet and placement of the capillary member with a minimum of time and movement. Further, there is a need for a compact, simple system to obtain the desired sample size without the need for excess lancing of tissue, extra pain to the patient or expressing an excess volume of body fluid.

To reduce the anxiety of piercing the skin and the associated pain, many spring loaded devices have been developed. The following two patents are representative of the devices which were developed in the 1980's for use with home diagnostic test products.

U.S. Patent No. 4,503,856, Cornell et al., describes a spring loaded lancet injector. The reusable device interfaces with a disposable lancet. The lancet holder may be latched in a retracted position. When the user contacts a release, a spring causes the lancet to pierce the skin at high speed and then retract. The speed is important to reduce the pain associated with the puncture.

Levin et al., U.S. Patent No. 4,517,978 describes a blood sampling instrument. This device, which is also spring loaded, uses a standard

disposable lancet. The design enables easy and accurate positioning against a fingertip so the impact site can be readily determined. After the lancet pierces the skin, a bounce back spring retracts the lancet to a safe position within the device.

5 In home settings it is often desirable to collect a sample in order to enable a user to perform a test at home such as glucose monitoring. Some blood glucose monitoring systems, for example, require that the blood sample be applied to a test device which is in contact with a test instrument. In such situations, bringing the finger to the test device poses
10 some risk of contamination of the sample with a previous sample that may not have been properly cleaned from the device.

 Glucose monitoring devices utilize blood samples in many ways, though the two most common methods are a paper strip and a capillary tube. Monitors that utilize a paper strip require the patient to pierce a finger
15 or appropriate location, withdraw a small sample of blood from the piercing, such as by squeezing, and then place the paper strip over the blood sample and wait until the paper strip absorbs the blood. Monitors that utilize a capillary tube require the patient to follow the process described above, except that a paper strip is not utilized to collect the blood from the
20 skin. Instead, a small capillary tube is placed over the sample until a sufficient amount of blood is withdrawn into the capillary tube, which is then tested.

 In some instances patients are diabetic, that is they are unable to properly metabolize glucose. In order to regulate insulin levels within their
25 bodies, individuals who are diabetic must inject themselves with an appropriate amount of insulin. To determine the proper amount of insulin, an individual first must test their blood glucose levels. Typically, a patient has to 'prick' a fingertip with a lancet to create an incision through which blood can be withdrawn and placed on a glucose monitoring strip which
30 then reacts and changes colors indicating the glucose level.

Haynes U.S. Pat. No. 4,920,977 describes a blood collection assembly with a lancet and micro-collection tube. This device incorporates a lancet and collection container in a single device. The lancing and collection are two separate activities, but the device is a convenient single disposable unit for situations when sample collection prior to use is desirable. Similar devices are disclosed in Sarrine U.S. Pat. No. 4,360,016 and O'Brian U.S. Pat. No. 4,924,879.

Jordan et al., U.S. Pat. No. 4,850,973 and U.S. Pat. No. 4,858,607 disclose a combination device which may alternatively be used as a syringe-type injection device or a lancing device with disposable solid needle lancet, depending on its configuration.

Lange et al., U.S. Pat. No. 5,318,584 describes a blood lancet device for withdrawing blood for diagnostic purposes. This invention uses a rotary/sliding transmission system to reduce the pain of lancing. The puncture depth is easily and precisely adjustable by the user.

Suzuki et al., U.S. Pat. No. 5,368,047, Dombrowski U.S. Pat. No. 4,654,513 and Ishibashi et al., U.S. Pat. No. 5,320,607 each describe suction-type blood samplers. These devices develop suction between the lancing site and the end of the device with the lancet holding mechanism withdrawing after piercing the skin. A flexible gasket around the end of the device helps seal the device end around the puncture site until an adequate sample is withdrawn from the puncture site or the user pulls the device away.

Garcia et al., U.S. Pat. No. 4,637,403 discloses a combination lancing and blood collection device which uses a capillary action passage to conduct body fluid to a separate test strip in the form of a micro-porous membrane. It is necessary to achieve a precise positioning of the upper end of the capillary passage with respect to the membrane in order to ensure that the body fluid from the passage is transferred to the membrane. If an appreciable gap exists therebetween, no transfer may

occur. Also, the diameter of the capillary passage is relatively small, so the width of a sample transferred to the membrane may be too small to be measured by on-site measuring devices such as an optical measuring system or an electrochemical meter.

5 Single use devices have also been developed for single use tests, i.e. home cholesterol testing, and for institutional use, to eliminate the risk of cross-patient contamination with multi-patient use. Crosman et al., U.S. Pat. No. 4,869,249, and Swierczek U.S. Pat. No. 5,402,798, describe disposable, single use lancing devices.

10 The disclosures of the above patents are incorporated herein by reference.

 An object of the present invention is to provide a disposable lancet unit that may be deployed easily and without causing undue pain.

 Another object of the present invention is to provide a one-step
15 system for sampling a body fluid for testing.

 Another object of the present invention is to provide an apparatus that withdraws a blood sample and provides an individual with a blood glucose level reading.

 A further object of the present invention is to provide an apparatus
20 that does not require the user to perform multiple steps in order to produce a blood glucose level reading.

 A further object of the present invention is to provide a lancet unit having capillary functions.

 Further objects, features and advantages of the present invention
25 shall become apparent from the detailed drawings and descriptions provided herein.

SUMMARY OF THE INVENTION

The present invention provides a device in the preferred embodiments which combines a lancing element with a capillary member to integrate in a single unit the lancing of a person's skin and the acquisition of the body fluid producing by lancing. The invention employs a lancing element which extends within the capillary member, and the body fluid passes through the capillary member in the space between the lancing element and the interior wall of the capillary.

One aspect of the present invention relates to a sampling device for sampling body fluid. The device includes a lancet, a main body and a carrier device for displacing the lancet. The device defines an annular space disposed about the lancet whereby body fluid is drawn into the annular space through capillary action. In one embodiment, the system further comprises a test strip with a reagent. In one approach, the test strip is in fluid communication with a distal end of the annular space. In another approach, the test strip is disposed within the annular space between the lancet and the main body. In one preferred embodiment, the test strip comprises a micro porous membrane.

In another embodiment the sampling device includes a main body, a lancet, a carrier for the lancet, and at least one channel disposed within the lancet to support a test strip. In this embodiment, the body fluid contacts the test strip as the annular space is filled.

In another embodiment, the sampling system includes a main body, a lancet, a carrier for the lancet and a testing device. The lancet is disposed within the main body, thereby creating an annular space between the lancet and the main body, and is advanced and retracted to allow the main body to fill with fluid. The main body is moved to or connected to a testing device for optical or electro-chemical testing of the fluid.

In a still further embodiment, the sampling system includes a disposable cartridge mounted to a base unit. The base unit includes

actuating structure for activating the lancet within the capillary member. Preferably the base unit may be reused and minimizes the materials and structure in the cartridge.

The present invention also relates to a method of sampling body
5 fluid which comprises the steps of positioning the testing device over a testing site, activating the lancet carrying device, whereby the lancet forms a small incision in the testing site through which body fluid flows, drawing the body fluid into the main body of the device to contact a testing strip or sensors, to provide a user with the results.

BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1A is a cut-away side view of one apparatus according to a preferred embodiment of the present invention.

FIG. 1B is a bottom view of the apparatus of FIG. 1A.

5 FIG. 1C is a cross-sectional view taken along line A-A of FIG. 1A illustrating a test strip disposed within the testing device.

FIGS. 2A, 2B and 2C are cut-away side views of the apparatus of claim 1 in positions during use.

10 FIG. 3A is a cut-away side view of an alternate preferred embodiment of the present invention.

FIG. 3B is a bottom view of the alternate embodiment of FIG. 3A illustrating test strips disposed within the annular space.

FIG. 4A is a cut-away side view of still another preferred alternative embodiment of the present invention.

15 FIG. 4B is a bottom view of the alternative embodiment of FIG. 4A illustrating a test strip disposed within a groove on the lancet.

FIG. 4C is a bottom view of an alternate embodiment of the lancing and testing apparatus of the present invention.

20 FIG. 4D is a bottom view of a further alternate embodiment of the apparatus of the present invention.

FIG. 4E is a bottom view of still a further preferred embodiment of the apparatus of the present invention.

FIG. 4F is a cut-away side view of another preferred embodiment of the present invention illustrating a dual lancet apparatus.

25 FIG. 4G is a cross-sectional end view of the alternate embodiment shown in FIG. 4F.

FIG. 4H is a cut-away side view of another alternate embodiment of the lancet of the present invention.

30 FIG. 5A is a cut-away side view of still another alternate embodiment of the apparatus of the present invention.

FIG. 5B is a side view of the apparatus shown in FIG. 5A disposed in a testing device.

FIG. 6A is a cut-away side view of an alternate embodiment of the apparatus of the present invention.

5 FIG. 6B is a cut-away side view of the apparatus of FIG. 6A disposed within a tissue surface.

FIG. 6C is a cut-away side view illustrating the apparatus of FIG. 6A displaying the capillary action of the design.

10 FIG. 7 is a cut-away side view of an alternate preferred embodiment of the apparatus of the present invention.

FIGS. 8A and 8B are cut-away side views of an alternate embodiment of the apparatus of the present invention.

FIG. 8C is a disassembled view of the apparatus of FIGS. 8A and 8B.

15 FIG. 9A is a cut-away side view of an alternate embodiment of the apparatus of the present invention.

FIG. 9B is a disassembled view of the apparatus of FIG. 9A.

DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS

For the purposes of promoting an understanding of the principles of the invention, reference will now be made to the embodiments illustrated and specific language will be used to describe the same. It will
5 nevertheless be understood that no limitation of the scope of the invention is thereby intended, such alterations, modifications, and further applications of the principles of the invention being contemplated as would normally occur to one skilled in the art to which the invention relates.

The present invention provides a device and method for obtaining
10 small volume samples of a body fluid. In preferred embodiments the invention combines a lancing element integrated with a capillary member in a single unit for lancing a person's skin and acquiring the body fluid producing by the lancing. The invention employs a lancing element which extends within the capillary member, and the body fluid passes through the
15 capillary member in the space between the lancing element and the interior wall of the capillary member. This integrated unit is useful by itself or in combination with other devices which perform complementary functions such as promoting the expression of the body fluid from the incision, collecting and analyzing or testing the fluid. The device may be a reusable
20 or disposable unit.

In contrast to the prior art, the present invention locates the lancet within the capillary such that the capillary is in position, and centered, over the incision before the lancet is extended to create the incision. This avoids the need for moving the capillary after the incision is made, and
25 consequently reduces the significant difficulties that can be encountered in moving a capillary tube quickly and accurately to the site of an incision. It therefore enhances the ability to acquire the expressed body fluid without loss, delay or contamination.

In view of these purposes and advantages, it will be appreciated by
30 those skilled in the art that the particular mechanisms used to extend and

retract the lancet, and to hold the capillary tube, are not critical to the invention, although certain advantages are obtained with given embodiments, as hereafter described. Therefore, the underlying concept forming the basis for the present invention is useful with a wide variety of lancing mechanisms, as are known in the art. For example, the present invention is useful in combination with the mechanisms for extending and retracting lancets relative to a housing described, for example in application PCT/EP01/12527 and United States application serial number 09/963,967, incorporated herein by reference. The foregoing disclosures constitute a part of the description of the present invention and its available design alternatives.

The present invention is useful with various body fluids. For example, the unit is suitable for accessing either blood or interstitial body fluid. The device may be readily configured for either fluid by controlling the distance by which the lancing member extends into the user's skin when in the extended position. For example, a depth of 0.5 to 2.5 mm will typically produce blood.

In one preferred embodiment, illustrated in FIGS. 1A, 1B and 1C, unit 100 comprises a body 105 having associated features to facilitate the use of the unit. Body 105 is a capillary member having an internal diameter sized to draw and retain fluid from a contacted source using capillary action. Body 105 includes internal structure for supporting the lancet 120 and for moving the lancet longitudinally between a first, retracted position and a second, extended position. The unit 100 may also include means relating to the testing of the body fluid as described hereafter.

Referring to FIG. 1A in detail, there is shown a basic, integrated unit 100 for testing body fluids. Apparatus 100 comprises a main body 105, lancet 120 with distal point 135, biasing device 150, and lancet carrier or hub 110. Annular space or void 130 is defined within body 105 and

disposed between the lancet 120 and the internal wall of main body 105. This space is generally referred to herein as an "annular" space, although it will be appreciated that the shape of the space will vary depending on the shapes of the lancet and capillary member and the position of the lancet
5 within the capillary member.

For purposes herein, the term annular space is to be understood as encompassing generally the space between the capillary member and the contained lancet, including the variety of physical shapes that the space between the lancet and the capillary member may assume, depending at
10 least in part on the noted possible variations. In certain preferred embodiments, the annular space 130 between lancet 120 and main body 105 is between 10 and 500 μm , and is preferably between 20 and 200 μm to obtain optimal capillary fill time with blood.

Referring now to FIG. 1B there is shown a bottom view of apparatus
15 100. FIG 1B illustrates annular space 130 disposed between lancet 120 and main body 105. In use, the annular space 130 performs a capillary function in that body fluid is drawn up through apparatus 100 within annular space 130, with displaced air escaping from the unit through the opposing end of body 105. The body 105 and lancet or lancing element 120 are
20 sized and arranged to provide the desired flow of body fluid through capillary action. This will depend to some extent on the subject body fluid, as well as on other parameters.

In addition, the flow of fluid may be enhanced by forming the lancing member and/or the interior surface of the capillary member from a material
25 which is hydrophilic, which has been treated to be hydrophilic, or which has been coated with a hydrophilic material such as a surfactant or hydrophilic polymers. The surfaces can also be treated using polyamides, oxidation (e.g. corona/plasma treatment); plasma chemical vapor deposition; vacuum vapor deposition of metals, metaloxides or non-metaloxides; or
30 deposition of an element which oxidizes with water. The annular space is

therefore sized to provide the desired flow by capillary action with the various influences being taken into account.

Optionally an absorbent pad may be placed between the test strip and the distal end of the capillary passage for wicking body fluid from the annular space to the test strip. In the embodiment where the test strip is disposed within the annular space, no absorbent pad may be needed because the test strip will be in direct contact with the body fluid.

The lancing element or lancet 120 is received and longitudinally movable within the capillary space 130 of unit 100 between a first, retracted position, and a second, extended position. Means are provided for resiliently extending and retracting the lancet in order to make a desired incision and to then withdraw the lancet back into a shielded position.

Various means for extending a lancet relative to a housing are known in the art, and are useful in combination with the present invention. These devices, for example, typically include lancets held by carriers that are spring loaded for movement relative to the surrounding housing. Alternatively, a spring-loaded hammer may be used to impact the lancet carrier in order to drive it in the direction to lance the skin. Examples of such mechanisms are contained in the following US Patents: 5,951,492; 5,857,983 and 5,964,718. The foregoing disclosures are incorporated herein by reference, and constitute a part of the description of the present invention and its available design alternatives.

These devices typically extend the lancet to a defined extent, such as by moving the lancet to a stop. Such devices frequently are produced with a predefined limit of travel for the lancet, thereby defining a penetration for the lancet into the skin. Alternatively, devices are well known which permit the user to adjust the penetration depth, such as by turning a wheel or other mechanism, with such adjustable devices frequently including a dial or other display which indicates the selected

depth. These types of mechanisms are useful in combination with the present invention.

Various means may similarly be employed for retracting the lancet after it has made the incision, and many such mechanisms are known in the art, including the references previously cited and incorporated herein. One example of a retraction means is spring 150 (FIG. 1) surrounding lancet 120 and disposed between bearing surfaces or retainers 107 associated with body 105 and bearing surfaces or retainers 122 associated with lancet 120. Preferably bearing surfaces 107 and 122 are fingers, tabs, flanges, rings, or similar structures which provide sufficient bearing surfaces to retain spring 150 in place without materially impeding capillary fluid flow.

The resilient means is mounted to provide relative movement to retract the lancet into the main body after making the incision. Preferably the resilient means, such as spring 150, is made from a biocompatible material, such as metal, plastic, elastic or a similar material known in the art, which does not react with the sample or interfere with the testing procedure. The resilient means may allow multiple uses if the unit is to be reused, or may be a disposable or one-use mechanism used with disposable or one-use embodiments of the unit.

The resilient means may be placed in various locations without affecting the operation of the unit. For example, the spring may be placed in the lower portion of the main body (FIG. 1A), in the upper portion of the main body (FIG. 4A), externally of the main body between the body and the lancet carrier (not shown) or externally in an external structure holding the unit (FIG. 7). In further alternate embodiments, the resilient means can be arranged to provide expansion or contraction force to move the lancet to its retracted position. Thus, the means for retracting the lancet may, for example, push or pull the lancet to the retracted position.

The withdrawal of the lancet may also be either a full or only a partial withdrawal. When fully withdrawn, the lancet is removed from the incision and returned to the retracted position protected from accidental contact by the user. However, in an alternate approach the lancet could be
5 only partially withdrawn, thereby leaving a portion of the lancet remaining within the incision. When the lancet is only partially withdrawn, the lancet acts as a focal point for locating the blood and transferring it to the capillary. This may be useful to employ the lancet to assure that the incision remains open for the blood or other body fluid to flow out of the
10 incision.

Referring now to FIG. 1C there is shown a cross-sectional view of apparatus 100 taken about line A-A of FIG. 1A. Apparatus 100 further includes a testing element, such as reagent test strip 90 and test strip holder 140. Test strip holder 140 is an opening or slot in the wall of body
15 105 allowing test strip 90 to be inserted into apparatus 100 and received within annular space 130 such that test strip 90 is disposed radially around lancet 120. Test strip 90 can be held in place during the lancet's movement as shown, or it can move longitudinally with lancet 120 during the lancet's extension and retraction, as shown in later embodiments.
20 Either way, the capillary action of unit 100 draws the body fluid into annular space 130 so that the fluid contacts the test strip.

The body 105 may be made from any suitable material, and typically can be economically produced from plastics, glass, or various other materials, for example by injection molding or extrusion. The main body
25 may be manufactured of a transparent material such as glass, plastic, polyvinyl chloride or any similar bio-compatible plastic. Alternatively, the main body may be manufactured having an opaque or solid appearing surface. In some embodiments it is desirable to have the capillary member transparent, or to include a window portion to allow the user to observe the

progress of fluid filling the capillary and/or to facilitate viewing the testing of the body fluid, particularly by optical means.

Lancet 120 and spring 150 may be manufactured of any bio-compatible material such as steel, surgical stainless steel, aluminum, or
5 titanium, as well as many other suitable materials known in the art. Preferably lancet 120 is made in a solid piece which is sufficiently sharpened to create an incision.

Preferably unit 100 is manufactured in a compact size, with annular
space 130 sized to hold the desired fluid sample size. While the desired
10 sample size will vary depending on the fluid to be sampled and the specific test desired, in preferred embodiments the volume is relatively small, and may be as small as 3 μ L and less, including less than 1 μ L. For transport and use, unit 100 may be packaged individually, or may be loaded in a cartridge type of container which may be loaded in an applicator for
15 conducting multiple tests as desired.

Sterility of the unit may be enhanced by the use of a cap (FIG. 5A) or other sealing member placed over the distal end of the capillary member. In one embodiment, a cap is maintained over the capillary member to enclose the lancet prior to use. The cap is simply removed
20 when the unit is to be used. In an alternate embodiment a plastic piece surrounds the tip and a portion of the plastic is twisted, cut or torn off to expose the tip for use.

In a further alternate approach, a membrane is positioned over the distal end of the capillary member to provide a seal for the unit. The
25 membrane is composed of a suitable material through which the lancet may extend during use. Thus, the sealing membrane does not have to be removed for use, but rather is sufficiently thin and penetrable as to remain in place when the lancing member is displaced from the retracted position to the extended position. In the latter embodiment, the sealing membrane
30 is preferably biocompatible as well, and should not interfere with the

desired functioning of the lancing member to incise the skin or the resulting capillary fluid flow. One example of such a tip is disclosed in application PCT/EP01/02198.

Illustrated in use in FIGS. 2A, 2B and 2C, the distal end of
5 apparatus 100 is placed over an appropriate incision site, such as a forearm or fingertip such that the distal end abuts the skin surface. This provides a position control to enable application of a predetermined (chosen) pricking depth. In the retracted position, the distal tip 135 of the lancing element is fully received within the unit 100, preventing accidental
10 contact with the tip. A downward force D (FIG. 2B) is then applied to lancet carrier 110, displacing lancet 120 from the static, protected position shown in FIG. 2A, to an extended position, shown in FIG. 2B. In the extended position, tip 135 of lancet 120 penetrates the skin tissue thereby creating a small incision, typically 0.5 to 1.2 mm deep. The incision depth will
15 typically be pre-set at a desired level, or may be controlled by a selectable depth adjustment mechanism included on the unit.

The force D is then released from lancet carrier 110, and spring 150 biases lancet 120 into the retracted and protected position as shown in FIG. 2C. After retraction, apparatus 100 remains over the newly formed
20 incision, preferably without movement, as shown in FIG. 2C, and body fluid F is drawn into annular space 130 of device 100 by capillary action. The capillary action is made more efficient since the capillary member is immediately in place and aligned with the incision, minimizing the concerns of movement or a gap between the tissue and the capillary member. A
25 sufficient volume of body fluid F is drawn into annular space 130 so that it may be collected, tested and/or analyzed, for example by contact with test strip 90. In alternate methods of use, additional tools are used to assist in expressing a sufficient volume of fluid from the incision site, as is well known.

Testing of the fluid sample can be accomplished using standard optical or electro-chemical methods. The collected fluid can be analyzed using the full range of available procedures and equipment, including conventional test strip chemistries. For example, in one embodiment, after
5 body fluid F contacts a micro-porous test strip 90, test strip 90 may be optically read in place or after removal to determine, for example, the blood glucose level. An optical reading of the test strip typically compares the color of the reaction of the test strip to a control chart. Alternately, test strip 90 may be removed from apparatus 100 and connected to or placed in a
10 chemical or electronic testing apparatus. In a further alternate embodiment, unit 100 includes an optically-readable, reactive coating placed on the surface of lancet 120 or the interior circumference of body 150. Testing of body fluid F can be accomplished by the optical reading of the result of the reaction of the coating to the body fluid.

15 The device in alternate embodiments includes multiple testing means, for example two or more test strips 90, and includes alternate structural arrangements. Referring to FIGS. 3A and 3B, an alternative embodiment of apparatus 100 is shown. Apparatus 300 is similar in construction and materials to unit 100 and comprises an outer body 305,
20 lancet 320 having distal tip 335, lancet carrier 310, spring 350, spring bearing surfaces or retainers 307 and 322, and annular space 330. As shown in FIG. 3A and with an upward view in FIG. 3B, apparatus 300 further includes test strip holders 340 and test strips 90 disposed within annular space 330. In use, body fluid that is drawn into annular space 330
25 by capillary action contacts test strips 90. The multiple test strips 90 can be duplicates to ensure accuracy, or may include different reagents to perform multiple tests simultaneously.

Referring now to FIGS. 4A and 4B there is shown still another embodiment of the apparatus of the present invention. In this
30 embodiment, apparatus 400 comprises main body 405, lancet 420, lancet

carrier 410, spring 450, spring retention means 407, groove 422 disposed axially along lancet 420, and annular space 430. As shown in FIG. 4B, test strip 90 is disposed within groove 422 in lancet 420. Although lancet 420 is only shown as having a single groove 422 and a single test strip 90, lancet
5 420 may include a plurality of grooves and test strips in order to perform the methods of the present invention.

Referring now to FIGS. 4C, 4D and 4E, there are shown alternative embodiments 400', 400'' and 400''' of apparatus 400. As shown in FIG. 4C, lancet 420' includes first and second grooves 422', each being adapted to receive a test strip 90 (not shown). Referring to FIG. 4D, lancet 420''
10 includes grooves 422'' having a V shaped geometry adapted to receive test strips 90 (not shown). Alternatively, as shown in FIG. 4E, main body 405''' of apparatus 400''' includes grooves 422''' adapted to receive and carry test strips 90 (not shown). Alternately, a reactive coating could be deposited in grooves 422'''. In each of the embodiments illustrated in
15 FIGS. 4C, 4D, and 4E, the apparatus includes each of the main unit elements shown in FIG. 4A.

Referring now to the alternate embodiment in FIGS. 4F and 4G, there is shown apparatus 400F having a main body 405F, first and second
20 lancets 420F, annular space 430F, first and second distal lancet tips 435F and channel 422F formed between first and second lancets 420F. A test strip 90F may be disposed within channel 422F thereby placing the test strip in spatial communication with the body fluid as the fluid moves upwardly into the capillary. Additionally, as lancets 420F are advanced,
25 the test strip 90F will also be advanced.

FIG. 4H shows still another alternative embodiment, unit 400H. Lancet 420H includes channel 422H disposed therein. Channel 422H may be utilized to advance body fluid to a test strip disposed adjacent to the proximal end of the channel. Alternatively, a test strip 90H is disposed
30 within channel 422H.

In still another embodiment, shown in FIGS. 5A and 5B, apparatus 500 comprises a main body 505, lancet 520, lancet carrier 510, annular space 530 and biasing mechanism 550. In use (not shown), apparatus 500 is disposed over a site where body fluid is to be sampled, lancet 520 is
5 advanced within main body 505 by applying a downward force D to lancet carrier 510. The distal end 535 of lancet 520 pierces the tissue, thereby creating a small incision through which body fluid will flow. Force D is then removed from lancet carrier 510, whereby biasing or resilient mechanism 550 retracts lancet 520 within main body 505. Body fluid flows from the
10 incision created by the lancet into annular space 530 by capillary action.

After a sufficient amount of body fluid has been drawn into annular space 530, apparatus 500 is placed into analysis equipment, such as blood glucose measuring device 580 shown in FIG. 5B. The analysis equipment may use optical transmittance, reflectance, fluorescence or direct sampling
15 with electrical and/or chemical stimuli to test the fluid sample in conventional fashion. For example, in a simple testing machine a blood glucose level is obtained by pressing button 585 on measuring device 580, resulting in a blood glucose level displayed on display 590.

In a still further embodiment also illustrated in FIGS. 5A and 5B,
20 electro-chemical sensors or electrodes 560 may be located within annular space 130. The sensors 560 are connected by wires 565 to measuring equipment 580 to perform analysis of the body fluid. The sensors can be connected to the measuring equipment prior to the fluid acquisition, or unit 100 may be moved and placed in or connected to the measuring
25 equipment.

A further variant on the structure of unit 100 is illustrated in FIGS. 6A and 6B. Apparatus 600 comprises main body 605, lancet 620, annular space 630 and safety cap 618 disposed over the end of main body 605. In this embodiment, the lancet 620 is secured to the main body, and does not
30 move relative thereto. As shown in FIG. 6A, in apparatus 600 the distal

end 635 of lancet 620 extends slightly beyond the distal end 607 of main body 605. Typically, the distance between the distal end 635 of lancet 620 and main body 605 is between .05 mm and 2 mm, preferably between .5 mm and 1.2 mm.

5 In use as shown in FIG. 6B, after safety cap 618 is removed from the distal end 607 of main body 605, apparatus 600 is placed over a desired location where body fluid is to be withdrawn, i.e. forearm or fingertip. A force is applied to the proximal end 604 of main body 605, forcing the distal tip 635 of lancet 620 into the tissue and creating a small
10 incision through which body fluid will flow. Apparatus 600 is then slightly retracted from the tissue location, as shown in FIG. 6C, allowing a small drop of body fluid to form and drawing the fluid into annular space 630 by capillary action. The fluid can then be tested in the various manners described herein.

15 A further alternate embodiment is illustrated in FIG. 7 with a disposable unit 700 held in a base 740. Unit 700 includes main body 705 with lancet 720 mounted to carrier 710. Main body 705 is preferably releasably held by interior wall 745 of base 740 by suitable means, such as a friction fit (shown) or other coupling. Carrier 710 extends to an injector
20 member or plunger 760 and may be connected by a friction fit, threaded engagement, form fit, jigsaw fit (such as disclosed in PCT/EP01/12527), a snap or a similar coupling. Spring 750 is mounted between bearing surfaces 707 on base 740 and bearing surfaces 722 on injector 760. In this embodiment, the assembly provides a larger grippable area to facilitate
25 use, while allowing a reusable base and minimizing the internal structure and pieces for a disposable unit 700. Various base unit designs, for example a "pen" injector, can also be used.

 An additional preferred embodiment is illustrated in FIGS. 8A, 8B and 8C. Device 800 includes a proximal body portion 805 coupled to a
30 distal body portion 807. Carrier 810 attached to lancet 820 is disposed

within proximal body portion 805 with lancet 820 extending through distal body portion 807 to a distal tip. Capillary channel 830 is defined in distal body portion 807, and defines an annular space extending from the distal end of body portion 807 to a testing element holder 860. Preferably testing
5 element holder 860 is a side port in body portion 807 for receiving testing media such as a testing pad 890.

Another preferred embodiment is illustrated in FIGS. 9A and 9C. Device 900 includes a proximal body portion 905 coupled to a distal body portion 907. Carrier 910 attached to lancet 920 is disposed within proximal
10 body portion 905. Capillary tube 930 surrounding lancet 920 and an annular space is received within distal body portion 907. Capillary 930 extends from the distal end of body portion 907 to a testing element holder 960. Preferably testing element holder 960 is a slot or side port in body portion 907.

15 While the invention has been illustrated and described in detail in the drawings and foregoing description, the same is to be considered as illustrative and not restrictive in character, it being understood that only the preferred embodiment has been shown and described and that all changes and modifications that come within the spirit of the invention are desired to
20 be protected.

What is claimed is:

1. A device for sampling body fluid, comprising:
 - a) a main body defining a capillary channel;
 - b) a lancet disposed within said capillary channel and defining
5 an annular space between said lancet and said main body;
 - c) wherein said lancet is selectively advancable and retractable;
and,
 - d) wherein said capillary channel is dimensioned to draw a body
fluid into said annular space through capillary action.
- 10 2. The device of claim 1 further comprising at least one testing element
in communication with said annular space.
3. The device of claim 2 wherein said testing element is a test strip.
- 15 4. The device of claim 3 wherein said test strip is a membrane.
5. The device of claim 3 wherein said test strip is radially mounted
around said lancet.
- 20 6. The device of claim 3 wherein said test strip is mounted in a groove
defined in said lancet.
7. The device of claim 3 wherein said test strip is mounted in a groove
25 defined in said main body.
8. The device of claim 2 further comprising two or more testing
elements in communication with said annular space.

9. The device of claim 2 wherein said testing element comprises electrochemical sensors within said annular space.
10. The device of claim 2 further comprising biasing means to
5 selectively retract said lancet.
11. The device of claim 10 further comprising biasing means to selectively advance said lancet.
- 10 12. The device of claim 10 wherein said biasing means is a spring operable to provide relative movement between said lancet and said main body.
13. The device of claim 12 wherein said spring is mounted within said
15 capillary channel between a bearing surface on said main body and a bearing surface on said lancet.
14. The device of claim 13 wherein said spring comprises a bio-compatible material.
20
15. The device of claim 2 wherein said main body has a generally cylindrical shape.
16. The device of claim 15 wherein said main body comprises a bio-compatible plastic.
25
17. The device of claim 3 wherein said test strip is optically reactive.
18. The device of claim 17 wherein at least a portion of said main body
30 adjacent said test strip is transparent.

19. The device of claim 17 wherein said main body is transparent.

20. The device of claim 2 wherein said main body defines a distal
5 opening to said capillary channel and wherein said device further
comprises a tip covering said opening and penetrable by said lancet.

21. The device of claim 2 wherein said lancet advances from said main
body a distance between approximately 0.05 mm and 3 mm.

10

22. A system for sampling and testing a body fluid, comprising:

- a) a main body defining a capillary channel;
- b) a lancet disposed within said capillary channel and defining
an annular space between said lancet and said main body;
- 15 c) wherein said lancet is selectively advancable and retractable;
- d) wherein said capillary channel is dimensioned to draw a body
fluid into said annular space through capillary action; and,
- e) a testing means for testing the body fluid drawn into said
capillary channel.

20

23. The system of claim 22 wherein said testing means comprises at
least one test element in communication with said annular space.

24. The system of claim 22 wherein said testing means comprises
25 analysis equipment operable to test the body fluid in said annular space.

25. The system of claim 25 wherein said testing means further
comprises electrochemical sensors mounted within said annular space and
in communication with said analysis equipment.

30

26. The system of claim 24 wherein said main body is placed in said analysis equipment after a body fluid sample is collected.

27. The system of claim 26 wherein said testing device tests the body
5 fluid using optical transmittance, reflectance or fluorescence.

28. The system of claim 26 wherein said testing device tests the body fluid using electrochemical sensors situated to communicate with said annular space.

10

29. A device for sampling body fluid, comprising:

a) a base unit;

b) a disposable main body mountable to said base unit and defining a capillary channel;

15

c) a lancet disposed within said capillary channel and defining an annular space between said lancet and said main body;

d) injection means associated with said base unit and releasably coupleable to said lancet, wherein said injection means is operable to selectively advance and retract said lancet; and,

20

e) wherein said capillary channel is dimensioned to draw a body fluid into said annular space through capillary action.

30. The device of claim 29 further comprising testing means in communication with said annular space for analyzing the body fluid.

25

31. The device of claim 30 wherein said testing means comprises electrochemical measuring equipment associated with said base unit.

32. A method of obtaining a fluid sample from the body of a person,
30 comprising the steps of:

a) placing an apparatus having a defined capillary channel adjacent tissue at a desired sample location;

b) advancing a lancet disposed within said capillary channel so that said lancet incises tissue at an incision point in the desired sample

5 location;

c) retracting said lancet into said capillary channel; and,

d) acquiring body fluid expressed from the body at the incision point into said capillary channel through capillary action.

10 33. The method of claim 32 further comprising the step of testing the acquired body fluid while the fluid is contained in said capillary channel.

34. The method of claim 32 further comprising the step of transferring the fluid from said capillary channel to a testing element and thereafter
15 testing the fluid.

35. The method of claim 32 further comprising the step of testing the acquired body fluid with testing means communicating with said capillary channel.

20

36. The method of claim 33 further comprising the step of testing the acquired body fluid for a blood glucose level.

37. A device for measuring blood glucose levels, the device comprising:

25 a) a main body having a generally cylindrical shape, a proximal end and a distal end;

b) a lancet disposed within said main body, wherein an annular space is disposed about said lancet and defined by said main body, and said lancet protrudes beyond said distal end of said main body; and,

c) a means for testing blood glucose levels in communication with said annular space.

38. The device of claim 37, wherein said means for testing blood glucose levels comprises an electronic meter disposed adjacent said proximal end of said main body and having sensors in communication with said annular space.

39. A method for sampling a body fluid, comprising the steps of:

a) providing a testing apparatus with a main body defining a capillary channel, and a lancet disposed within said capillary channel and defining an annular space between said lancet and said main body;

b) placing the testing apparatus over a site to be lanced;

c) advancing said lancet into the site thereby creating an incision;

and,

d) withdrawing a body fluid into said annular space through capillary action.

40. The method according to 39 further including the step of withdrawing said lancet from said incision prior to withdrawing the body fluid.

41. The method according to claim 40 wherein said lancet is withdrawn to between 0 and 2 mm from said site.

42. The method according to claim 39 in which said lancet is movable relative to said main body.

43. The method according to claim 39 in which said lancet is fixed in position relative to said main body.

1/10

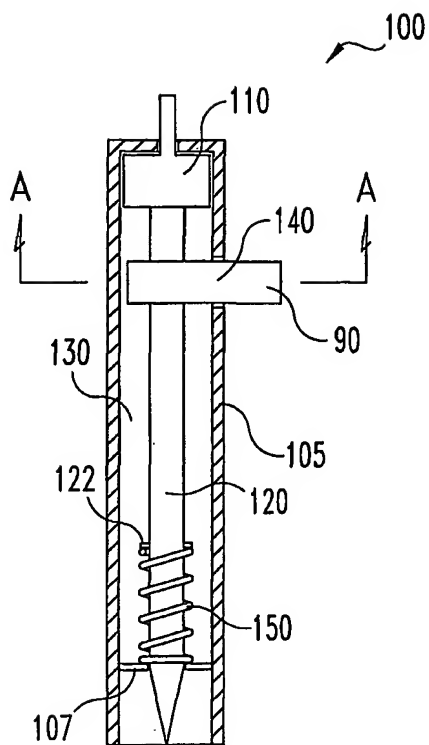


Fig. 1A

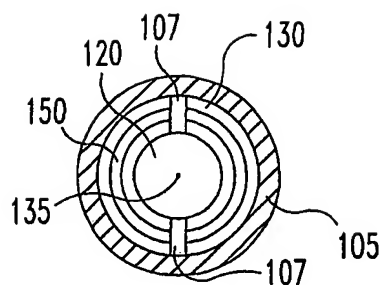


Fig. 1B

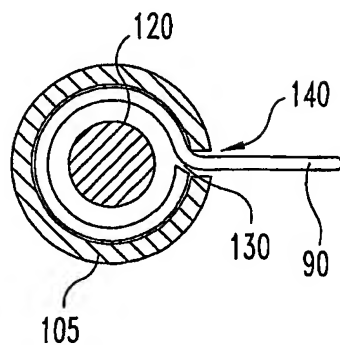


Fig. 1C

2/10

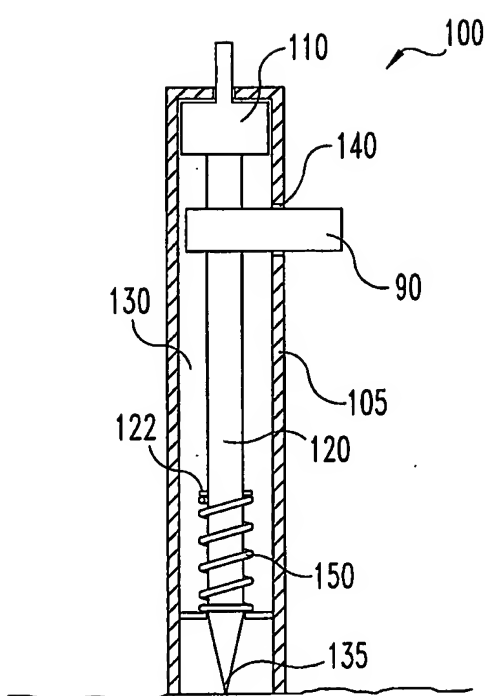


Fig. 2A

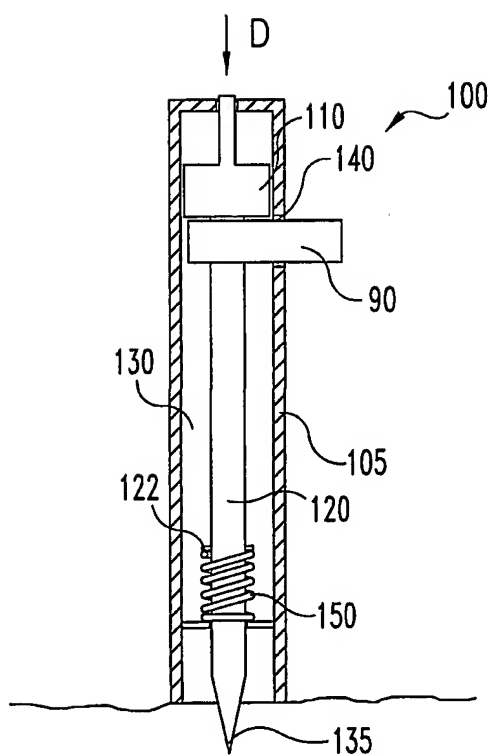


Fig. 2B

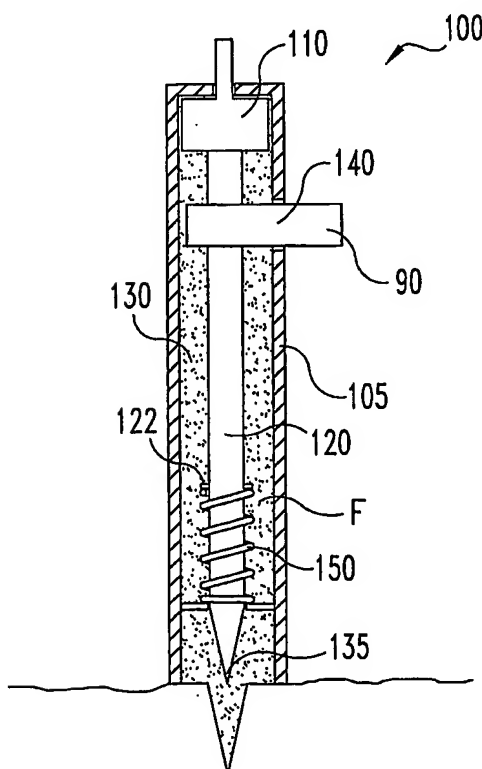
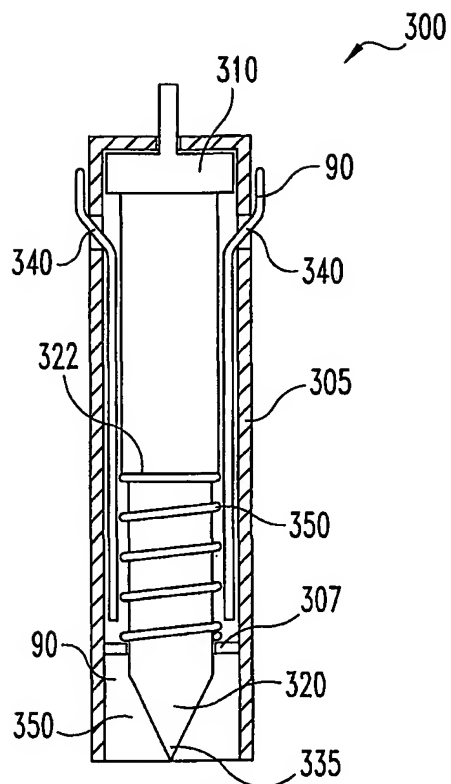
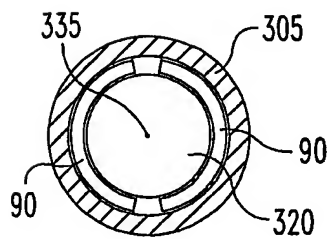


Fig. 2C

3/10

**Fig. 3A****Fig. 3B**

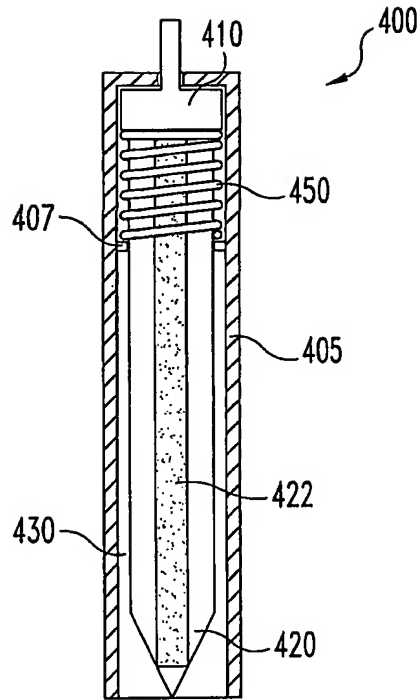


Fig. 4A

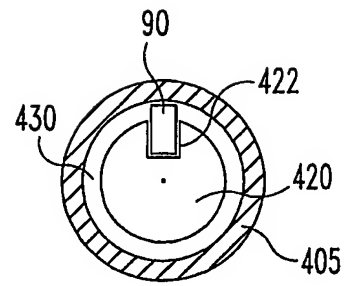


Fig. 4B

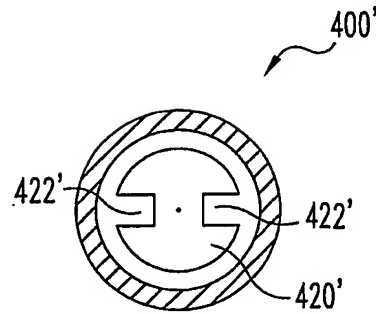


Fig. 4C

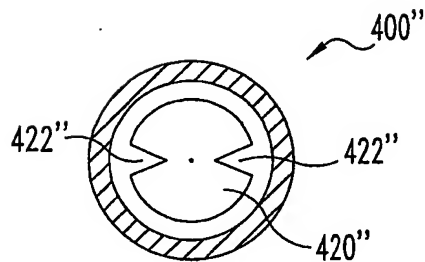


Fig. 4D

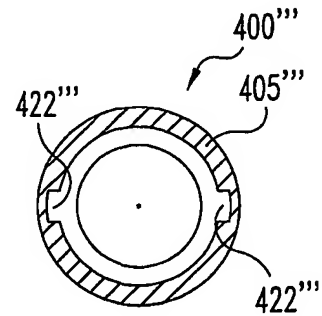
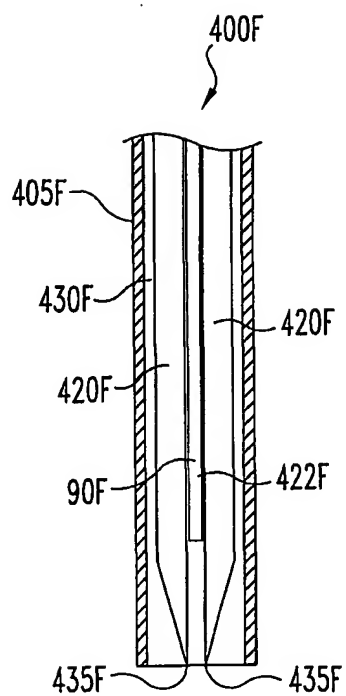
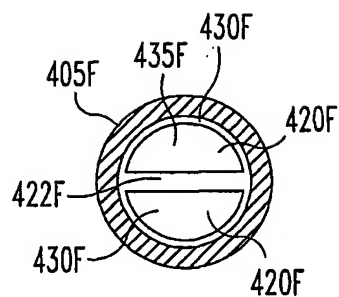
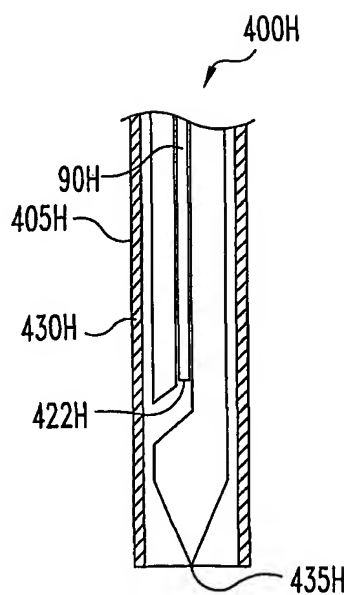
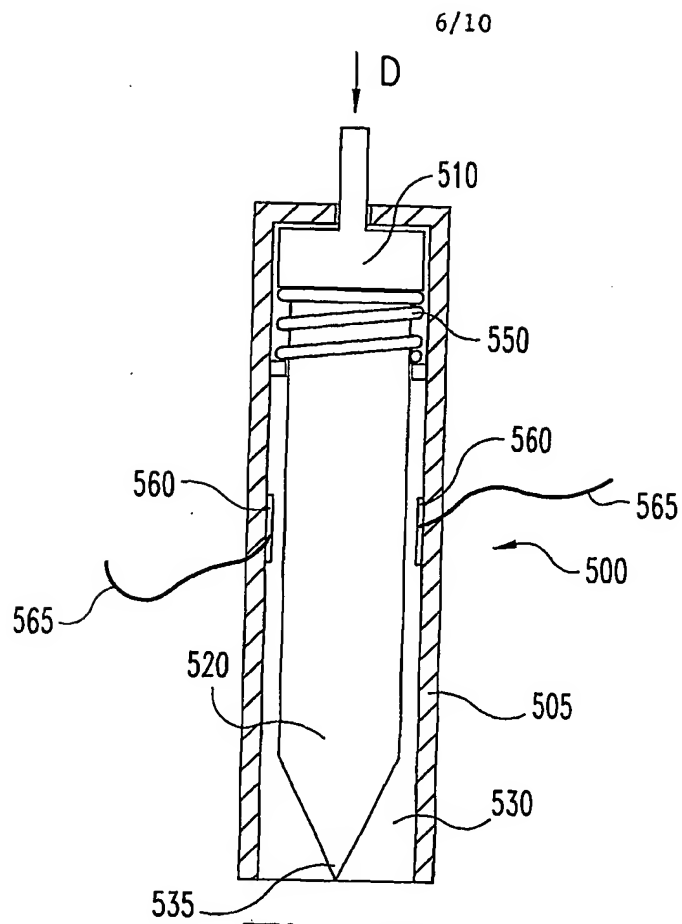
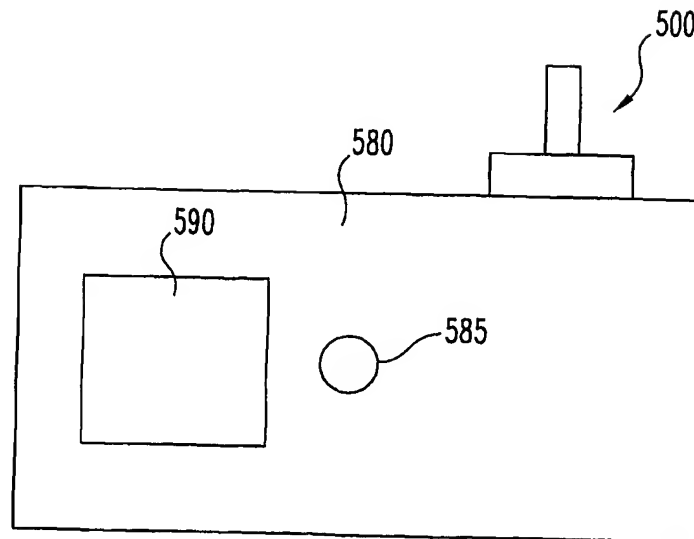


Fig. 4E

**Fig. 4F****Fig. 4G****Fig. 4H**

**Fig. 5A****Fig. 5B**

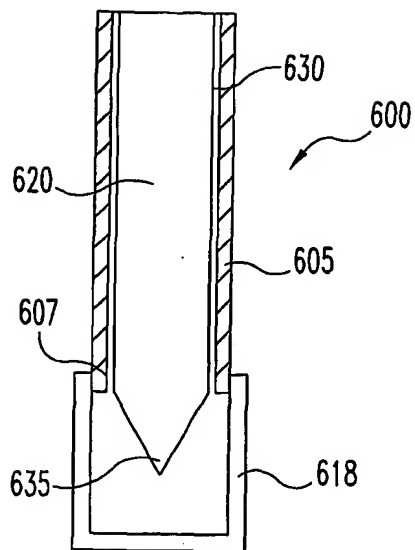


Fig. 6A

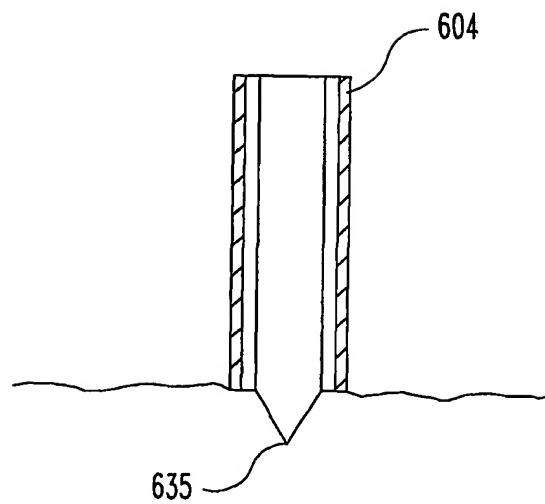


Fig. 6B

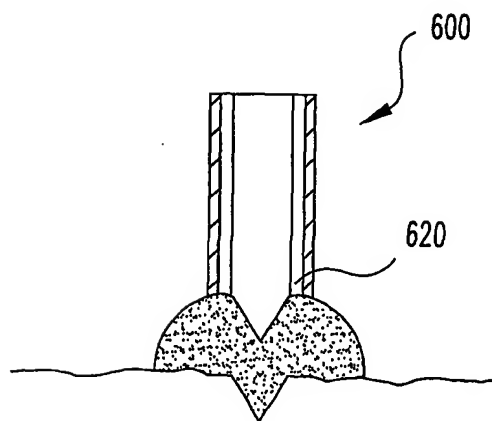


Fig. 6C

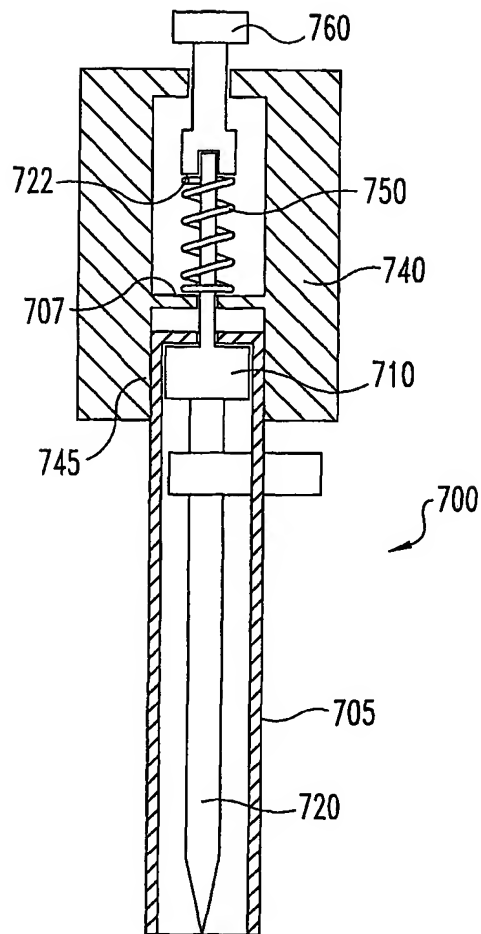


Fig. 7

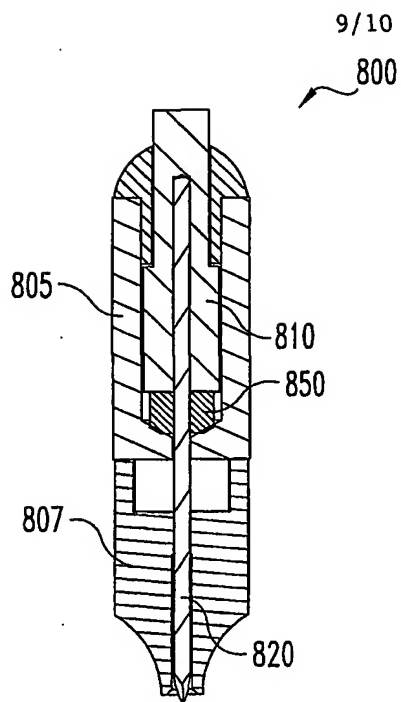


Fig. 8A

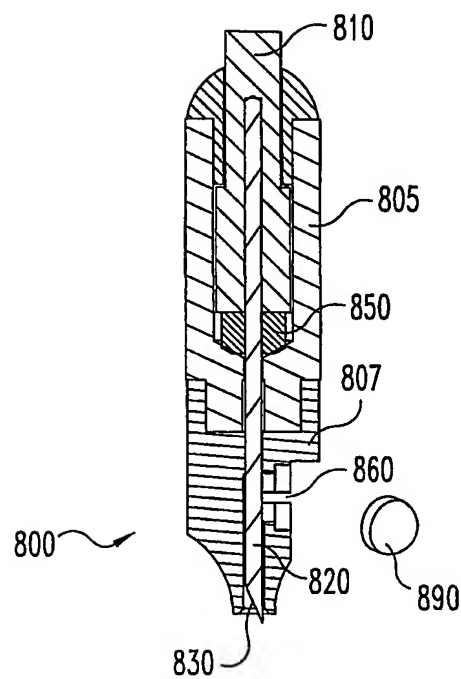


Fig. 8B

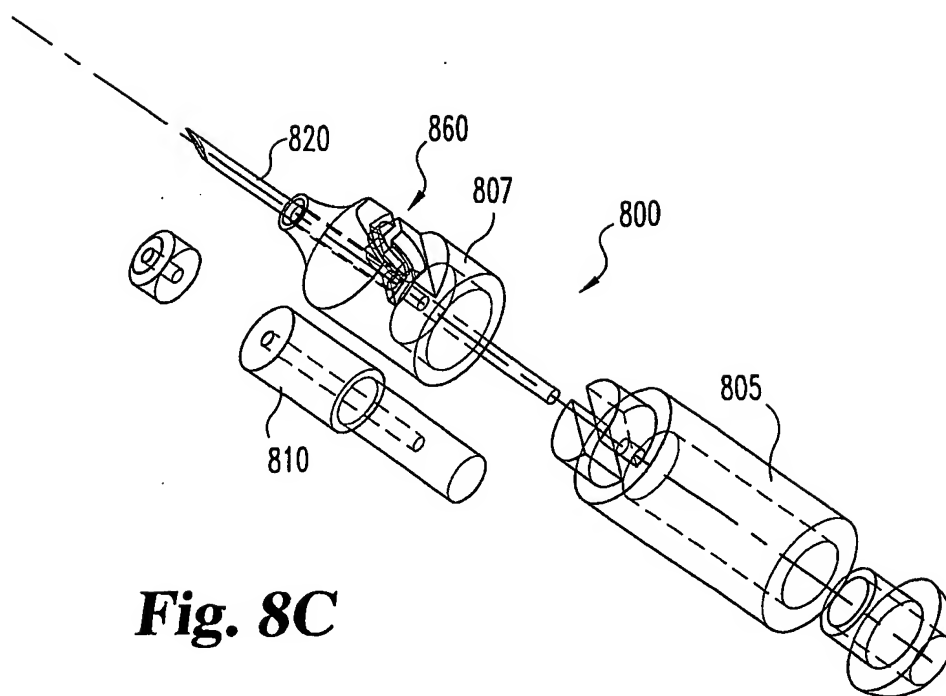


Fig. 8C

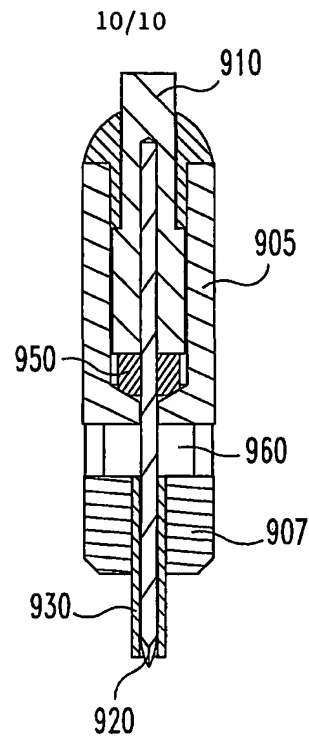


Fig. 9A

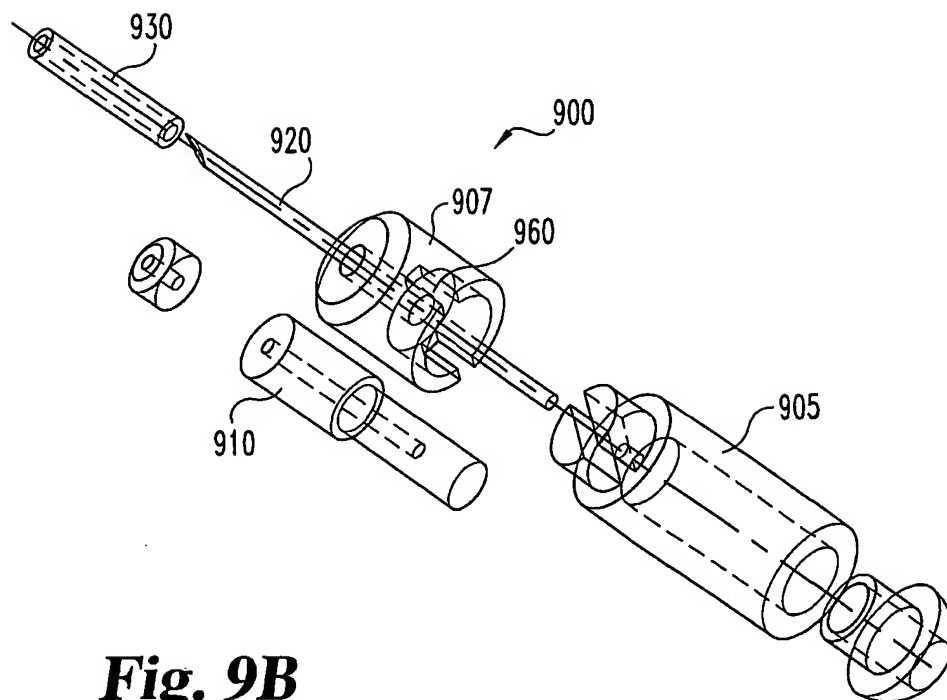


Fig. 9B